



EUROPEAN BANKING AUTHORITY (EBA)

in cooperation with the

The EUROPEAN SUPERVISOR EDUCATION INITIATIVE (ESE)

present the seminar on

**“Current and best practices
in recovery plan assessment”**

hosted by: EBA

Date: 15 and 16 November 2021

Venue: Online via WebEx

Description

The seminar introduces the participants to the main aspects of recovery plans. It starts off with an overview of the economic intuition and the main elements and approaches used with respect to recovery plans. Building on this, the seminar lays out the SSM's current focus topics for recovery planning. It proceeds with an overview of the legal and regulatory foundations and the work that is conducted by the EBA. Finally, the seminar lays out specifics that need to be considered when dealing with smaller institutions.

The presentations will also highlight peculiarities in dealing with bank specificities (size of the institutions, calibration of capital indicators and connection with the risk appetite framework of banks, particular business models, etc.) and touch upon cooperation and cross-border aspects.

The course will be accompanied by pre-requisite learning material provided by EBA. Its use is strongly recommended in preparation for the main seminar.

Target Group

This seminar is targeted at experts from supervisory authorities and central banks with focus on stability and supervision of the banking industry and of financial markets. While a general introduction into the field allows also newcomers to participate in the seminar, it especially addresses professionals with experience in recovery planning and with an adequate level of expertise.

Pre-Requisite Learning

The seminar includes a pre-requisite learning material which is expected to be completed by participants before the live session of the seminar. The pre-requisite is optional and will be open for completion between 9 - 12 November 2021.

This study material is hosted on the [EBA Learning Hub](#). Log-in details will be sent to you upon request at EBA-LearningHub@eba.europa.eu.

Registration

Please register [here](#)

Password: Training@nov2021

Registration Deadline:

5 November 2021, 4.00 pm CET

Enrollment is free of charge.

The webinar will be delivered via WebEx.

Webinar Organisation

meetings@eba.europa.eu

PROGRAMME

“Current and best practices in recovery plan assessment”

Monday, 15 November 2021

- 09:00 - 09:15 **Welcome by Konrad Richter, OeNB**
- 09:15 - 10:45 **Session I**
Main elements of recovery plans - The Austrian Concept
Konrad Richter, OeNB
The evolution of recovery plans: Changing challenges over time for banks and for supervisors
- Purpose and main elements of recovery plans
 - Ensuring consistency in recovery plan evaluation
 - Interlinkages with other supervisory activities
- 10:45 - 11:00 **Break**
- 11:00 - 12:30 **Session II**
Recovery Planning for SIs in the SSM
Emmeline van Heukelem,
Senior Supervisor, DG HOL - BMC, ECB
- The ECB's strategic priorities in recovery planning 2021-2022; and
 - Overall Recovery Capacity -insights from 2021 benchmarking analysis

Tuesday, 16 November 2021

- 09:00 - 10:30 **Session III**
EBA and Recovery Planning
Antonella Pisani, EBA
- EBA role as rule-maker
 - Implementation in Europe: convergence mandate
 - Interlinkages between recovery and resolution planning
 - EBA and Covid 19 in recovery planning
- 10:30-10:45 **Break**
- 10:45-11:30 **Session IV**
The Crisis Management Cooperation Framework for LSIs
Eva Maria Peterlik, OeNB
- Overview of relevant joint supervisory standards
 - Selected key topics
- 11:30 - 12:00 **Q&A and Wrap-up**



EUROPEAN SUPERVISOR EDUCATION INITIATIVE



About ESE

The European Supervisor Education Initiative (ESE) is an alliance of central banks and supervisory authorities in Europe with the objective to qualify financial supervisors in Europe. Its members are Bank of Slovenia, Banque centrale du Luxembourg, Czech National Bank, De Nederlandsche Bank, Deutsche Bundesbank, German Federal Financial Supervisory Authority and Oesterreichische Nationalbank.

ESE is committed to high level training based on uniform standards to enhance financial supervisors' knowledge and skills and to promote a common European supervisory culture and practice. The initiative offers a modular training programme for banking, insurance and securities and markets supervisors, financial stability analysts and economists from central banks and supervisory authorities in Europe.

ESE is always open to new members or cooperations. Any contribution by European central banks, supervisory authorities or other institutions in the field of financial supervision sharing the idea that training is crucial to the success of financial supervision is highly appreciated.

About EBA

The European Banking Authority (EBA) is an independent EU Authority which works to ensure effective and consistent prudential regulation and supervision across the European banking sector. Its overall objectives are to maintain financial stability in the EU and to safeguard the integrity, efficiency and orderly functioning of the banking sector.

The European Banking Authority (EBA) launched the interactive Single Rulebook in 2014 (<https://www.eba.europa.eu/regulation-and-policy/single-rulebook>), an on-line tool designed to facilitate navigation through the single set of harmonised prudential rules in the EU banking sector. The Single Rulebook aims at ensuring consistent application of the regulatory banking framework across the EU.