



The EUROPEAN SUPERVISOR EDUCATION INITIATIVE (ESE)

presents the seminar on

**“IT/Cyber risk management for financial institutions.
Linking guidelines and practice”**

hosted by: BaFin

Date: 19 - 20 September 2019

Venue: Frankfurt, Germany

Seminar Code: 3008 9207



OBJECTIVES

The seminar aims to provide practice-oriented insights into the main aspects of the consultation paper “EBA draft Guidelines on Information and Communication Technology (ICT) risk management”.

The general aims of this seminar are:

- ICT governance and strategy including the use of third party providers
- ICT risk management including risk assessment, classification and reporting
- Information security management including security reviews, awareness and testing
- ICT operations management including incident and problem management
- ICT project and change management
- Business continuity management including business continuity planning, response and recovery plans and testing.

TARGET GROUP

IT-Risk supervisors as well as on-site IT-inspection auditors.

FORMAT

Experienced IT-Risk supervisors as well as IT-auditors with on-site inspection experience from BaFin have designed this seminar. The lecturers will give insights into the latest developments of the regulatory framework and share their experience related to onsite as well as offsite IT-supervision. Within each session, sufficient time will be dedicated for interaction. Moreover, a case study on responding to a cyber-attack will be provided and discussed.

TRAINERS

Renate Essler and Lucas Hesselmann, Senior Policy Officers, IT inspections / supervision support, Banking Supervision, BaFin



PROGRAMME

Thursday, 19 September 2019

09:00 a.m. – 06:30 p.m.

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| 9.00 a.m. | Welcome, Registration and Coffee |
| 9.30 a.m. | IT governance and strategy (Renate Essler) |
| 10.30 a.m. | Coffee break |
| 11.00 a.m. | IT/Cyber risk management (Lucas Hesselmann) |
| 12:00 a.m. | IT project management (Renate Essler) |
| 12.30 p.m. | Lunch |
| 2.00 p.m. | Information security framework (Lucas Hesselmann) |
| 3.30 p.m. | Coffee break |
| 4.00 p.m. | Major incident reporting - lesson learnt (Renate Essler) |
| 5.00 p.m. | Summary - end of day 1 |
| 6.30 p.m. | Social Event, Meeting at the reception of Relexa hotel |

Friday, 20 September 2019

09:00 a.m. – 02:00 p.m.

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| 9.00 a.m. | IT operations management and business continuity management (Renate Essler) |
| 10.30 a.m. | Coffee break |
| 11.00 a.m. | Case study - How to respond to a cyber-attack? (Lucas Hesselmann, Renate Essler) |
| 12.30 a.m. | Feedback, final summary and conclusion |
| 1.00 p.m. | Lunch |
| 2.00 p.m. | End of seminar |



EUROPEAN SUPERVISOR EDUCATION INITIATIVE

ORGANISATIONAL INFORMATION

Seminar venue

The Seminar will be held at:

Bundesanstalt für Finanzdienstleistungsaufsicht

Marie-Curie-Str. 24-28

60439 Frankfurt

Seminar fee and registration

Seminar fee: 250 Euro (excluding accommodation)

Please register on our website <http://www.ese-initiative.org> or use this direct [link](#)

The seminar fee includes meals, snacks and drinks (water and coffee/tee) throughout the seminar, as well as the social event with a welcome dinner on Thursday, 19 September 2019. The social event is included in the seminar fee and is considered an integral part of the seminar. Costs for the accommodation as well as ancillary costs (e.g. telephone calls, additional drinks, etc.) are to be borne by the participants.

Please register online on our website (<http://www.ese-initiative.org> or this direct link)

Registration deadline: Friday, 26 July 2019

The invoices for the seminar fee will be issued and sent to the individual institutions after the event has taken place. In case a registration already confirmed by ESE is cancelled after the registration deadline and no replacement can be found for the participant, the complete seminar fee is due and will be invoiced by ESE.



EUROPEAN SUPERVISOR EDUCATION INITIATIVE

Accommodation

Relexa Hotel Frankfurt/Main

Lurgiallee 2

60439 Frankfurt am Main

Tel.: +49 69 957-78 805

Email: Reservierung.Frankfurt-Main@relexa-hotel.de

A block booking has been arranged by BaFin, for arrival on 18 September 2019 and departure on 20 September 2019 (2 nights). The rate for a single room is 135 Euro per person including breakfast, to be paid by the participants. Participants are requested to book the accommodation by themselves after receipt of confirmation of participation from the BaFin using the promotional Code „ESE Seminar IT risk“. The block booking is valid until 06 August 2019. For networking reasons, it is desired that participants will stay in this hotel.

Seminar Organisation

BaFin

Ms. Alessia Hoffmann or Ms. Marion Stricker

Email: Alessia.hoffmann@bafin.de; marion.stricker@bafin.de

Seminar organisation in general

ESE Secretariat, Deutsche Bundesbank, Frankfurt a.M.,

Ms. Christiane Zinkhan or Ms. Sara Tecer

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Tel. + 49 69 9566-3093/7809



EUROPEAN SUPERVISOR EDUCATION INITIATIVE

ABOUT ESE

The European Supervisor Education Initiative (ESE) is an alliance of central banks and supervisory authorities in Europe with the objective to qualify financial supervisors in Europe. Its members are Bank of Slovenia, Banque centrale du Luxembourg, Czech National Bank, De Nederlandsche Bank, Deutsche Bundesbank, German Federal Financial Supervisory Authority and Oesterreichische Nationalbank.

ESE is committed to high level training based on uniform standards to enhance financial supervisors' knowledge and skills and to promote a common European supervisory culture and practice. The initiative offers a modular seminar programme for banking, insurance and securities and markets supervisors, financial stability analysts and economists from central banks and supervisory authorities in Europe.

ESE is always open to new members or cooperations. Any contribution by European central banks, supervisory authorities or other institutions in the field of financial supervision sharing the idea that training is crucial to the success of financial supervision is highly appreciated.