



The EUROPEAN SUPERVISOR EDUCATION INITIATIVE (ESE)

in cooperation with the EUROPEAN BANKING AUTHORITY (EBA)

presents the seminar on

**“Current and best practices in recovery plan
assessment”**

hosted by: Deutsche Bundesbank

Date: 10 - 11 May 2023

Venue: Online via WebEx

Seminar Description

The seminar introduces the participants to the main aspects of recovery plans. It starts off with an overview of the economic intuition and the main elements and approaches used with respect to recovery plans. Building on this, the seminar lays out the SSMs current focus topics for recovery planning. It proceeds with an overview of the legal and regulatory foundations and the work that is conducted by EBA. Finally, the seminar lays out specifics that need to be considered when dealing with smaller institutions.

The presentations will also highlight peculiarities in dealing with bank specificities (size of the institutions, calibration of capital indicators and connection with the risk appetite framework of banks, particular business models, etc.) and touch upon cooperation and cross-border aspects.

Target Group

This seminar is targeted at experts from supervisory authorities and central banks with focus on stability and supervision of the banking industry and of financial markets. While a general introduction into the field allows also newcomers to participate in the seminar, it especially addresses professionals with experience in recovery planning and with an adequate level of expertise.

SEMINAR PROGRAMME

“Current and best practices in recovery plan assessment”

Wednesday, 10 May 2023

09:00 - 09:15 **Welcome and introduction**
by Konrad Richter, OeNB and ESE Representative

09:15 - 10:45 **Session I**
Main elements of recovery plans - The Austrian Concept
Konrad Richter, OeNB

The evolution of recovery plans: Changing challenges over time for banks and for supervisors

- Purpose and main elements of recovery plans
- Operationalizing the evaluation of plans
- Interlinkages with other supervisory activities

10:45 - 11:00 **Break**

11:00 - 12:30 **Session II**
Recovery Planning for SIs in the SSM
Anastasia Koutsomanoli Filippaki, DG HOL - BMCA, ECB

- Results from benchmarking of recovery plans
- The impact of Covid-19 on recovery planning
- The ECB’s strategic priorities in recovery planning 2022-2023
- Overall Recovery Capacity - findings from analysis of SSM banks

Thursday, 11 May 2023

09:00 - 10:30 Session III
EBA and Recovery Planning
Antonella Pisani, EBA

- EBA role as rule-maker and convergence mandate
- Interlinkages between recovery and resolution planning
- EBA Guidelines on recovery plan indicators
- EBA Guidelines on overall recovery capacity

10:30 - 10:45 **Break**

10:45 - 11:30 Session IV
Crisis Management in Practice - Lessons Learned
Eva Maria Peterlik, OeNB

- Overview of relevant joint supervisory standards
- Selected key topics

11:30 - 12:00 **Q&A and Wrap-up**

Registration

Please register via the ESE website <https://www.ese-initiative.org/ese-en>

Registration Deadline: Tuesday, 2 May 2023

Enrollment is free of charge. Confirmations will be sent after the registration deadline by the ESE Secretariat.

The webinar will be offered via WebEx.

Webinar Organisation

ESE Secretariat at Deutsche Bundesbank, Central Office Frankfurt

Email: ese-initiative@bundesbank.de

Phone: +49 (0) 69/9566- ext. 37809 or 14362



EUROPEAN SUPERVISOR EDUCATION INITIATIVE



About ESE

The European Supervisor Education Initiative (ESE) is an alliance of central banks and supervisory authorities in Europe with the objective to qualify financial supervisors in Europe. Its members are Bank of Slovenia, Banque centrale du Luxembourg, Czech National Bank, De Nederlandsche Bank, Deutsche Bundesbank, German Federal Financial Supervisory Authority and Oesterreichische Nationalbank.

ESE is committed to high level training based on uniform standards to enhance financial supervisors' knowledge and skills and to promote a common European supervisory culture and practice. The initiative offers a modular training programme for banking, insurance and securities and markets supervisors, financial stability analysts and economists from central banks and supervisory authorities in Europe.

ESE is always open to new members or cooperations. Any contribution by European central banks, supervisory authorities or other institutions in the field of financial supervision sharing the idea that training is crucial to the success of financial supervision is highly appreciated.

About EBA

The European Banking Authority (EBA) is an independent EU Authority which works to ensure effective and consistent prudential regulation and supervision across the European banking sector. Its overall objectives are to maintain financial stability in the EU and to safeguard the integrity, efficiency and orderly functioning of the banking sector.

The European Banking Authority (EBA) launched the interactive Single Rulebook in 2014 (<https://www.eba.europa.eu/regulation-and-policy/single-rulebook>), an on-line tool designed to facilitate navigation through the single set of harmonised prudential rules in the EU banking sector. The Single Rulebook aims at ensuring consistent application of the regulatory banking framework across the EU.