

### The EUROPEAN SUPERVISOR EDUCATION INITIATIVE (ESE)

## presents the seminar on

# "FUNDAMENTALS OF BEHAVIOUR AND CULTURE SUPERVISION"

3 - 4 June 2024

#### **OBJECTIVES**

The objective of this seminar is to gain practical insight on how to identify, assess and mitigate Behavioural and Cultural risks in a structured way for participants involved in supervisory practice, meaning:

- You will gain fundamental knowledge into the supervisory approach and tools for behaviour and culture assessments.
- You will gain an understanding on, how identification, assessment, and mitigation of risks regarding behavioural supervision takes place.
- You will gain practical experience due to exercises to use the tools.
- You will reflect on lessons learned and take aways to implement techniques for supervision of behaviour and culture risks as part of prudential supervision.

#### **TARGET GROUP**

The seminar is designed for staff of national competent supervisory authorities of the European Supervisory Authorities. Supervisors from the Single Supervisory Mechanism or from non-EEA countries are more than welcome as well.

The seminar is particularly aimed at supervisors from the different supervisory sectors who intent to develop and broaden their knowledge and toolkit to assess behaviour and culture risks in their supervision of financial institutions.

#### **FORMAT**

Lectures, discussion, breakout-session, case study, exercises, and assignments.

#### **TRAINERS**

Behaviour and culture supervisory experts from De Nederlandsche Bank.



# "FUNDAMENTALS OF BEHAVIOUR AND CULTURE SUPERVISION"

#### **Trainers:**

Janneke Schenning & Ellis Karels
De Nederlandsche Bank

#### **AGENDA**

#### Day 1, Monday 3 June 2024

#### Part 1 - 09:30 - 12:30

- Welcome & check on goals
- Introduction into rationale and supervisory approach to behaviour and culture supervision

**12:30 – 13:30** Lunch

#### Part 2 - 13:30 - 16:30

- Toolkit for assessing leadership/group dynamics.
- Practice with use of tools for identifying risks

17:30 - 20:30

Social event: group activity and diner

#### Day 2, Tuesday 4 June 2024

#### Part 1 - 09:30 - 12:30

- Recap, reflection and discuss lessons learned
- Introduction into mitigation strategies

12:30 - 13:30

Lunch

#### Part 2 - 13:30 - 15:00

- Outcomes 100+ Governance Behaviour Culture assessments
- Take aways for own practice



#### ORGANISATIONAL INFORMATION

#### **Seminar Venue**

Hotel Amsterdam Zuidas (Valk) Tommaso Albinonistraat 200 1083 HM Amsterdam

#### **Accommodation**

After the registration deadline we can inform the participants whether there is a hotel block booking available. In this case the relevant information will be sent together with the confirmation of participation after the registration deadline. In case a hotel block booking cannot be arranged, accommodation should be organised by the participants themselves.

#### Registration

Please register via the ESE website:

https://www.ese-initiative.org/ese-en/trainings/online-registration

Registration Deadline: Friday, 12 April 2024

#### Seminar fee

300-600 Euro (excluding accomodation)

Lunches and snacks will be provided throughout the training. One Social event with dinner on the first evening is included, too. Ancillary costs (e.g., for additional drinks, etc.) are to be borne by the participants themselves.

Invoices for the seminar fee will be issued after the seminar has taken place.

#### **Cancellation policy**

In case a registration already confirmed by ESE is cancelled after the registration deadline and no replacement for this place can be found, the complete seminar fee is due and will be invoiced by DNB.

#### **Confirmations**

Confirmations will be sent after the registration deadline.

#### Limitations

Participation will be limited both in terms of total participants and by participants per institution.

#### **Seminar Organisation**

ESE Secretariat at Deutsche Bundesbank, Central Office Frankfurt Email: <a href="mailto:ese-initiative@bundesbank.de">ese-initiative@bundesbank.de</a>

#### Hosting

De Nederlandsche Bank

Contactperson: Loraine Brouwn E-Mail: <a href="mailto:DNB-Academie@dnb.nl">DNB-Academie@dnb.nl</a>

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#### **ABOUT ESE**

The European Supervisor Education Initiative (ESE) is an alliance of central banks and supervisory authorities in Europe with the objective to qualify financial supervisors in Europe. Its members are Banka Slovenije, Banque centrale du Luxembourg, Czech National Bank, De Nederlandsche Bank, Deutsche Bundesbank, German Federal Financial Supervisory Authority and Oesterreichische Nationalbank.

ESE is committed to high level training based on uniform standards to enhance financial supervisors' knowledge and skills and to promote a common European supervisory culture and practice. The initiative offers a modular seminar programme for banking, insurance and securities and markets supervisors, financial stability analysts and economists from central banks and supervisory authorities in Europe.

ESE is always open to new members or cooperations. Any contribution by European central banks, supervisory authorities or other institutions in the field of financial supervision sharing the idea that training is crucial to the success of financial supervision is highly appreciated.