



EUROPEAN SUPERVISOR EDUCATION INITIATIVE

The EUROPEAN SUPERVISOR EDUCATION INITIATIVE (ESEI)

presents the online seminar on

“FUNDAMENTALS OF BEHAVIOUR AND CULTURE SUPERVISION“

17 May and 25 - 27 May 2021

OBJECTIVES

The objective of this seminar is to gain awareness on how to identify and assess Behavioural and Cultural elements in a structured way for participants involved in supervisory practice, meaning:

- You will gain fundamental knowledge regarding two behavioural supervision topics a) board effectiveness and b) root cause analysis.
- You can indicate which inquiry tools are used within behavioural supervision.
- You will gain insights due to practical exercises when exploring the inquiry tools.
- You will gain an understanding on, how identification and assessment of risks regarding behavioural supervision takes place.
- You will gather arguments why supervision regarding behavioural risks is important as part of prudential supervision.

TARGET GROUP

The seminar is designed for staff of national competent supervisory authorities of the European Supervisory Authorities. Supervisors from the Single Supervisory Mechanism or from non-EEA countries are more than welcome as well. The seminar is particularly aimed at supervisors from the different supervisory sectors who like to develop and broaden their knowledge on behaviour and culture in general and deepen their insights on (potential) behavioural risks within financial institutions in particular.

FORMAT

Lectures, discussion, breakout-session, case study, exercises and assignments

NUMBER OF PARTICIPANTS

Max. of 10



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TRAINERS

Behavioural supervisory experts from De Nederlandsche Bank:
Melanie Rouppe van der Voort + Ellis Karels
Supervisor Governance Behaviour and Culture

REGISTRATION

Please register via the ESE website:

<https://www.ese-initiative.org/ese-en/trainings/online-registration>

Registration Deadline: Thursday, 6 May 2021

Registration fee: None

Confirmations will be sent after the registration deadline.
The web seminar will be delivered via WebEx.

WEB SEMINAR ORGANISATION

ESE Secretariat at Deutsche Bundesbank, Central Office Frankfurt

Email: ese-initiative@bundesbank.de

Phone: 069/9566-7809 or -5107

HOSTING

De Nederlandsche Bank

Email: dnb-academie@dnb.nl

Phone: +31 (0)6 524 96904

PREPARATION

A short preparation assignment which will take you approximately 30 minutes in advance of the training.

TIME SCHEDULE FOR THE WEB SEMINAR:

17 May 15.30-17.00 h

25 May 10-13 h

26 May 10-12 h

27 May 10-12 h

Breaks will be arranged flexible during the training with the trainers.



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PROGRAM

CONTENT (Training times see above):

Block 0: (1,5 hours)

- Introductory session (getting to know each other) - art gallery (photo/picture/object that depicts core values/strength of participant and course leaders).
- Check on goals/output participants strive for while following the Behaviour and Culture seminar

Block 1: (3 hours)

- Rationale for supervision of Behaviour and Culture
- Introduction on elements of the iceberg and theoretical underpinning
- Tooling
- Supervisory cycle

Block 2 (2 hours)

- Working with a real life case in which the iceberg elements will be explored while participants use different tools to examine what is going on and how to act on the discovered behavioural patterns.

Block 3 (2 hours)

- Introductory case on recurring patterns
- Theoretical substantiation on Root Cause Analysis [Introductory case as a stepping stone for working with other tools]
- Getting started with tools based on 2/3 case studies participants Present back insights/lessons learned of case studies to all participants